

# Methodological and practical viewpoints of qualitative-driven mixed method design: the case of decentralisation of primary healthcare services in Nepal

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8 **Background:** Although considerable attention has been paid to the use of quantitative  
9 methods in health research, there has been limited focus on decentralisation research using  
10 a qualitative-driven mixed method design. Decentralisation presents both a problematic  
11 concept and methodological challenges, and is more *context-specific* and is often *multi-*  
12 *dimensional*. Researchers often consider using more than one method design when  
13 researching phenomena is complex in nature. **Aim:** To explore the effects of decentralisation  
14 on the provision of primary healthcare services. **Methods:** Qualitative-driven mixed  
15 method design, employing three methods of data collections: focus group discussions  
16 (FGDs), semi-structured interviews (SSIs) and participant observations under two compo-  
17 nents, that is, core component and supplementary components were used. Four FGDs with  
18 health service practitioners, three FGDs with district stakeholders, 20 SSIs with health  
19 service users and 20 SSIs with national stakeholders were carried out. These were  
20 conducted sequentially. NVivo10, a data management program, was utilised to code the  
21 field data, employing a content analysis method for searching the underlying themes or  
22 concepts in the text material. **Findings:** Both positive and negative experiences related  
23 to access, quality, planning, supplies, coordination and supervision were identified.  
24 **Conclusion:** This study suggests some evidence of the effects of decentralisation on  
25 health outcomes in general, as well as filling a gap of understanding and examining  
26 healthcare through a qualitative-driven mixed methods approach, in particular. Future  
27 research in the area of qualitative in-depth understanding of the problems (why decen-  
28 tralisation, why now and what for) would provoke an important data set that benefits the  
29 researchers and policy-makers for planning and implementing effective health services.

Q4 30 **Key words:** decentralisation; focus groups; health services; in-depth interviews;  
31 primary healthcare; qualitative-driven mixed method design

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## 33 Background

34 The World Health Organisation recommends that the multiple facets of healthcare should be

35 appropriately understood before making any  
36 healthcare interventions (Roberts *et al.*, 2004).  
37 Despite a growing need to engage in health- and  
38 health systems-related research, there is still  
39 limited evidence of theoretical and methodological  
40 underpinnings about qualitative design in this area  
41 (Green and Thorogood, 2014).

42 Patton (2002) suggested that qualitative methods  
43 in primary healthcare (PHC) research would be

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44 appropriate to meet the needs and interests of  
 45 decision-makers and healthcare practitioners by  
 46 providing an in-depth understanding of complex  
 47 health problems, which ultimately would be useful  
 48 in health planning and management. In the same  
 49 vein, Morse agreed that ‘qualitative [approaches]  
 50 often address broad and complex problems rather  
 51 than the concise hypotheses found in quantitative’  
 52 designs (2003: 834). In Green and Thorogood’s  
 53 (2014: xiv) view, one of the limitations of current  
 54 approaches to generating qualitative evidence for  
 55 PHC research is a lack of relevant and appropriate  
 56 study design, as ‘the context of health research may  
 57 be rather different from that of general social  
 58 research’. To address these concerns, and add to the  
 59 literature on health research, this paper uses  
 60 qualitative-driven mixed method to explore the  
 61 effects of decentralisation on provision of PHC  
 62 services in the context of Nepal.

## 63 **Methods**

### 64 **Setting**

65 Nepal is one of the poorest countries of South  
 66 Asia. Despite expanding the universal healthcare  
 67 services through PHC settings to the rural com-  
 68 munities, difficult topography (hills and moun-  
 69 tains) and political instability have meant that  
 70 Nepal has consistency failed to achieve a lasting  
 71 change in improving people’s health status.  
 72 Accessing and utilising essential PHC, mainly for  
 73 poor and marginalised people, remains a chal-  
 74 lenge. Revitalisation of PHC, through improving  
 75 health access, reducing health inequities, and  
 76 addressing new challenges and expectations by  
 77 ensuring high quality, has been put forward as an  
 78 immediate agenda of the government (Depart-  
 79 ment of Health Services, 2014).

80 Between 2007 and 2010, I conducted study on  
 81 decentralisation, a system which involves the  
 82 transfer of central governments’ resources with  
 83 authority, accountability and responsibility to local  
 84 tiers of government. Imbued in the notion of  
 85 decentralisation is the belief that *local is better* in  
 86 terms of identifying, analysing and implementing  
 87 appropriate government actions (Regmi *et al.*,  
 88 2010). Over four decades, decentralisation has  
 89 been adapted to reform health services across the  
 90 globe, and Nepal has also adopted this approach to  
 91 reform its PHC services.

There is, however, little exploration concerning  
 the impact of decentralisation policy on health  
 service performance, mainly due to the complex  
 nature of the subject matter, as well as methodo-  
 logical challenges. Qualitative design in health  
 research can assist in filling this gap.

### **Methodological justification**

Although there are no clear-cut divisions  
 between quantitative and qualitative paradigms,  
 and they are not mutually exclusive; quantitative  
 research provides a more generalised and  
 numerically based view of reality, allegedly  
 neglecting social and cultural meanings (Patton,  
 2002; Silverman, 2010). Broadly conceived, quali-  
 tative methodology encompasses a variety of  
 methods, which are characteristically language-  
 based, descriptive rather than analytical, and  
 which, to varying degrees, recognise the experi-  
 ence of the researcher as a significant variable in  
 the form of the data collected (Seale *et al.*, 2004).

Flick (1998: 4) emphasised that ‘recognition and  
 analysis of different perspectives, researchers’  
 reflections on their research as part of the process  
 of knowledge production, and the range of  
 approaches and methodology’ are important  
 aspects of qualitative research. Qualitative  
 methods, therefore, would be a preferred method  
 for research design ‘when little is known about the  
 topic, when research context is poorly understood,  
 when the boundaries of the domain are ill-defined,  
 when the phenomenon is not quantifiable, [or]  
 when the nature of the problem is murky’ (Morse,  
 2003: 833).

Based on the above criteria, qualitative methodo-  
 logic is a good fit for the present study. First, there  
 have been some attempts to measure the impact of  
 decentralisation through allocation of public  
 expenses and revenues (fiscal decentralisation)  
 using quantitative attributes (Porcelli, 2009;  
 Jimenez-Rubio, 2010; 2011). These approaches  
 would present a great challenge. According to  
 Bossert (2014), measuring decentralisation is more  
 about who gets more choice (deconcentration  
 or devolution), and how much choice (narrow,  
 moderate or broad) is given to local authorities over  
 what functions (financing, service delivery, human  
 resources, access rules and governance), rather than  
 an association of independent and dependent vari-  
 ables or causal relationships. This is mainly due to

141 two challenges: (i) problematic concept, as different  
 142 disciplines (political science, social policy, manage-  
 143 ment, development studies, geography) use the  
 144 term decentralisation and it appears in different  
 145 conceptual literatures (federalism, central–local  
 146 relations, principal–agent theory, public choice  
 147 theory). Therefore, the concept of decentralisation  
 148 is difficult to measure and link to the conceptual  
 149 literature (Peckham *et al.*, 2006). And (ii) methodo-  
 150 logical problem, as there is limited evidence  
 151 available ‘that developed systematic definitions,  
 152 conceptual frameworks and consistent methodo-  
 153 logies to produce consistent, valid and reliable  
 154 results’ (Bossert, 1996: 149). In addition, the nature  
 155 of decentralisation is *context-specific* and is often  
 156 *multi-dimensional*, therefore it has been suggested  
 157 that the effects of decentralisation, even within a  
 158 country, would be different (Litvack *et al.*, 1998).

159 Second, measuring the impact of decentralisation  
 160 is a complex phenomenon, as health systems  
 161 across the world are constantly changing, and how  
 162 radically the change departs from past practice can  
 163 often be difficult to measure in quantitative attri-  
 164 butes (Roberts *et al.*, 2004). Third, the meaning  
 165 and interpretation of decentralisation is ill-defined  
 166 and it is recommended to understand its meaning  
 167 through utilising stakeholders’ knowledge within  
 168 their context, mechanisms, and expected outcomes  
 169 (Pawson and Tilly, 1997). Finally, evaluating the  
 170 impact of health services, mainly in low- and  
 171 middle-income countries, is often difficult due to  
 172 the lack of reliable data systems, and traditional  
 173 (quantitative) research may no longer be appro-  
 174 priate for addressing complex PHC interventions  
 175 (World Health Organization, 2014).

## 176 **Techniques, tools and approaches**

177 The meaning and interpretations of mixed  
 178 methods are debatable and this often creates some  
 179 confusion over the way the term has been used in  
 180 the research literature or paradigms. Cheek *et al.*  
 181 (2015) argue that people often used the terms  
 182 ‘mixed methods’, ‘mixed method research’ and  
 183 ‘multiple methods’ interchangeably. In fact, these  
 184 terms do not have the same meanings. Several  
 185 authors argue that the term ‘mixed methods’ has  
 186 consistently brought ambiguity, confusions and lack  
 187 of precision (Johnson *et al.*, 2007; Hesse-Biber,  
 188 2010; Hesse-Biber and Johnson, 2013; Morse and  
 189 Cheek, 2014; Cheek *et al.*, 2015). Greene (2006)

190 warns that one of the challenges of using mixed  
 191 methods research is not only the meaning and  
 192 interpretation of qualitative and quantitative, but  
 193 also the fact that they belong to different and  
 194 incompatible paradigms. In such a context, Morse  
 195 and Niehaus pose a question on ‘how researcher  
 196 combines the qualitative and quantitative com-  
 197 ponents in a single project as an essential con-  
 198 sideration if rigour is to be maintained’ (2009: 19).  
 199 It can be argued that the issue of incompatibility in  
 200 mixed methods is always debatable, either due to  
 201 the disciplinary devaluation of the qualitative  
 202 component (Creswell *et al.*, 2006) or devaluation of  
 203 anything less than experimental designs (Denzin  
 204 and Lincoln, 2005). Another practical challenge is  
 205 that there is no specific tool or technique that would  
 206 be able to measure or evaluate the impact of mixed  
 207 methods designs precisely (Morse and Niehaus,  
 208 2009). Some commentators have questioned whe-  
 209 ther using both qualitative and quantitative criteria  
 210 would be the best approach to evaluating the mixed  
 211 methods (Sale and Brazil, 2004), but others see the  
 212 validity ‘legitimation’ is the critical component  
 213 beyond the sum of its parts (Onwuegbuzie and  
 214 Johnson, 2006).

215 Generally, mixed methods are considered as a  
 216 combination of qualitative and quantitative methods  
 217 that were mixed, but here we have clearly seen the  
 218 complexity and difficulty involved in the combina-  
 219 tion. According to Morse and Niehaus (2009), a  
 220 mixed methods study ‘consists of a qualitative or  
 221 quantitative core component and a supplementary  
 222 component (which consists of qualitative or quanti-  
 223 tative research strategies but is not a complete study  
 224 in itself)’. This design would also consider ‘mix[ing]  
 225 two qualitative methods or two quantitative  
 226 methods’ (Morse and Niehaus, 2009: 20). It is  
 227 interesting to emphasise that the notion of mixed  
 228 methods is not only mixing two or more approaches  
 229 or their parts in a single study, but also ‘it is the point  
 230 of interface of those approaches and the consequent  
 231 integration of the results of the various components  
 232 in the research ... such integration is the key in  
 233 mixed designs, both to the design and to the sig-  
 234 nificance of the study’ (Morse and Cheek, 2015: 731).

235 Due to different theoretical drives, that is, the  
 236 conceptual direction or overall purpose of the  
 237 research, as well as a combination of both core and  
 238 supplementary components, qualitative-driven  
 239 mixed methods can possibly be categorised into  
 240 four designs (Table 1).

241 Given the objectives and significance of the  
 242 study, I decided to adopt a qualitative-driven  
 243 mixed methods design QUAL → qual. The study  
 244 design, adapted from Morse and Niehaus’s (2009)  
 245 qualitative-driven mixed methods research, has  
 246 been represented in Figure 1.

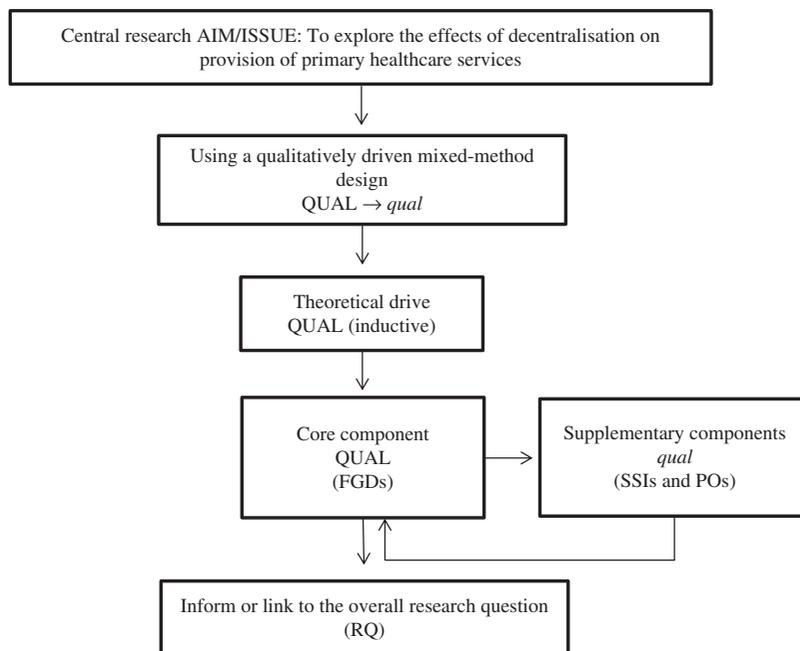
247 I obtained data through three methods of data  
 248 collections: focus group discussions (FGDs), semi-  
 249 structured interviews (SSIs) and participant  
 250 observations (POs), where the QUAL core com-  
 251 ponent was the FGDs and the supplementary  
 252 components were SSIs and POs. These were

253 conducted sequentially, not only to obtain two  
 254 different perspectives on the same phenomenon,  
 255 but also to integrate the supplementary findings  
 256 with the core component. From the SSIs, I hoped  
 257 to understand the individuals’ perspectives  
 258 and perceptions; from the POs, I wanted to  
 259 contextualise the relationship between stake-  
 260 holders; and from the FGDs, I hoped to see the  
 261 participants’ knowledge and perspectives (per-  
 262 ceptions, beliefs, experience), and some degree of  
 263 inter-relationships. Morgan (1998) and Phillips  
 264 *et al.* (2014) argued that one of the advantages of

**Table 1** Qualitative-driven mixed method designs

CORE supplementary	Features
QUAL + qual	Qualitative core and qualitative supplementary components of the research are conducted simultaneously
QUAL → qual	Qualitative core and qualitative supplementary components of the research are conducted sequentially
QUAL + quan	Qualitative core and quantitative supplementary components of the research are conducted simultaneously
QUAL → qual	Qualitative core and quantitative supplementary components of the research are conducted sequentially

Source: Adapted from Morse and Niehaus (2009: 25)



**Figure 1** Research design. FGDs = focus group discussions; SSIs = semi-structured interviews; POs = participant observations

265 using multiple methods with multiple groups is  
 266 that it allows a comparison of similarities. Addi-  
 267 tionally, according to Morse and Niehaus, ‘each  
 268 qualitative method has particular questions that  
 269 it may answer better than other qualitative  
 270 methods’ (2009: 111).

271 In sum, as set out above, this research was  
 272 mainly focussed on the collection of qualitative  
 273 information, adopting an exploratory and inter-  
 274 pretative approach to investigate a particular  
 275 phenomenon, related to the decentralisation of  
 276 health services in Nepal. The data were collected  
 277 through FGDs, SSIs and POs, engaging myself in  
 278 the research via an iterative process (Chambers,  
 279 1997).

### 280 **Issues of sampling**

281 The quality of research is often determined by  
 282 the use of appropriate methodology, field instru-  
 283 ments and suitability of the sampling strategy  
 284 (Cohen *et al.*, 2011). This research utilised a  
 285 purposive sampling method. As Teddlie and Yu  
 286 (2007) and Bowling (2009) discuss, a purposive  
 287 sample is one of the non-random methods which is  
 288 often used to obtain samples from a group of  
 289 people, or a setting to be able to achieve repre-  
 290 sentativeness, focussing on specific and unique  
 291 issues or cases as well as generating a theory  
 292 though collecting data from different sources. In  
 293 this study, the process of recruitment (sampling)  
 294 stopped when data saturation occurred and all  
 295 concepts were generated (Ritchie and Lewis, 2003;  
 296 Bowling and Ebrahim, 2005).

297 Sample frames were used to recruit service  
 298 users, service providers and members of the man-  
 299 agement committee. Bowling (2009) notes that a  
 300 sampling frame is a complete list of people or  
 301 members from which the sample has been drawn.  
 302 In this study, I utilised three registers, that is,  
 303 patients, staff register and management commit-  
 304 tee, while recruiting those respondents pur-  
 305 posively in order to represent the full range of  
 306 demographical variables, for example, age,  
 307 gender, professional (doctor, nurse). Mason (2002:  
 308 121) argues that while conducting qualitative  
 309 research, researchers are perhaps ‘not interested  
 310 in the census view, or trying to conduct a broad  
 311 sweep of everything, so much as focusing in one  
 312 specific issue, process, phenomenon, and so on’, as  
 313 qualitative research is all about the ‘depth, nuance

and complexity, and understanding how these  
 work in reality’. As Newell (1996) argues, the  
 selection of an appropriate sample frame also  
 increases reliability, because the samples will be  
 more likely to reflect the defined population  
 accurately if selected again by using the same  
 method.

### **Data collection**

#### **Focus groups**

Hennink (2007) and Silverman (2010) argued  
 that the purpose of having group discussions is to  
 capitalise on communication between the group  
 members to generate data. Focus groups explicitly  
 use group interaction to provide insights to the  
 subject matter (Campbell and Holland, 1999;  
 Hennink, 2007). Questions covered in the focus  
 groups included the effect of decentralisation on  
 health services, and how specific groups perceived  
 the decentralisation of health service imple-  
 mentation and management in their area. To  
 gather information, I conducted seven FGDs: four  
 with health service providers (HSPs) and three  
 with district health service management commit-  
 tees (comprising individuals with political invol-  
 vement, local leaders and representatives from  
 excluded and marginalised communities). Each  
 focus group contained four to six individuals who  
 were selected purposively.

#### **Interviews**

I conducted SSIs, employing interview guides  
 derived from both theories and drew upon pre-  
 vious research studies about the topic (Bossert,  
 2000; Bossert and Beauvais, 2002; Collins and  
 Omar, 2003; Omar *et al.*, 2007). To ensure cross-  
 case comparability, a SSI protocol was deemed  
 more convenient than an unstructured one. The  
 broader issue of decentralisation was divided into  
 the issues representing the health system and  
 quality of health services; for instance, on the issue  
 of decision-making, questions were asked as to  
 how decisions about health services were taken,  
 who made the decisions, who was involved, and  
 how they communicated with other health service  
 users (HSUs). This breakdown was intended to  
 simplify the issue to make respondents feel com-  
 comfortable in responding.

360 From a selection of 20 respondents, approxi-  
 361 mately five service users per study site from four  
 362 PHC facilities were selected purposively, using the  
 363 following general criteria to gain the widest  
 364 representation:

- 365 • Geographical location of service users
- 366 • Caste and ethnic origin
- 367 • Wealth (these categories were developed with  
 368 the help of health professionals and committee  
 369 members of health service management)
- 370 • Sex (both male and female)

371 All interviews were tape-recorded after getting  
 372 the respondents' approval. Participants' anonym-  
 373 ity and confidentiality were protected throughout  
 374 the study.

### 375 **Field visits and POs**

376 Mason (2002) argues that observation helps to  
 377 generate data through the immersion of the  
 378 researcher into the research context. I had ample  
 379 opportunities to observe and participate in local  
 380 events during my stay in the field, which helped me  
 381 to understand local realities, behavioural patterns,  
 382 culture and values. I took notes of each event, such  
 383 as: what went well and why; what did not go well  
 384 and why not? These data helped me to cross-check  
 385 my research. In this study, I used more than one  
 386 method of data collection (triangulation of the  
 387 data) using FGDs and SSIs, field observation and  
 388 reflective notes, involving different stakeholders to  
 389 produce rich and detailed contextual findings.  
 390 Such findings have not only explained the richer  
 391 understanding of the same phenomenon – decen-  
 392 tralisation of PHC – better, but also increase the  
 393 validity and trustworthiness of the information by  
 394 cross-checking different stakeholders' viewpoints  
 395 (Denzin, 1978; O'Cathain *et al.*, 2008; Green and  
 396 Thorogood, 2014). Tylor and Bogdan (1998)  
 397 discussed that in PO, the researcher needs to go  
 398 deeper into the sociocultural setting of the  
 399 community for an extended period, and make  
 400 regular observations of behaviour and the pattern  
 401 of decision-making in social areas, such as partici-  
 402 pation, decision-making, culture, norms and  
 403 values. During the field research, I had some  
 404 opportunities to live within the community so as to  
 405 interact with its residents, asking open-ended  
 406 questions based on the situational context to get  
 407 respondents' unique views towards the local health

services (Gray, 2004). In the community, I also  
 took part in meetings and discussions about local  
 concerns, contributing ideas and sharing my own  
 experience and knowledge about particular issues  
 with other members. I recorded my observations  
 and reflections regarding these meetings in a field  
 notebook.

### **Data analysis**

Data were collected from FGDs, SSIs and POs  
 of different stakeholders in the study area. With  
 the consent of the study respondents, events in  
 relation to field studies were recorded in a field  
 notebook. Answers from the interviews were  
 recorded using a digital voice recorder and then  
 transcribed/translated. This information entailed  
 the aspects of service access, utilisation and deli-  
 very, including the understanding and perceptions  
 of respondents about decentralisation linked to  
 health services performance.

The analysis of my qualitative interviews and  
 discussions began at the start of the interview  
 process. In this research, I decided to undertake a  
 basic content analysis of the qualitative data  
 (Denzin and Lincoln, 1998; Patton, 2002). A qua-  
 litative content analysis method searched for  
 underlying themes in the text material, which  
 contained information contributing to the theme  
 of the research (Bowling and Ebrahim, 2005). The  
 analysis used transcripts of the FGDs and SSIs,  
 identifying key concepts and allocating codes to  
 them. Using NVivo10, codes and sentences were  
 grouped and compared according to concepts and  
 themes.

### **Issues of validity and reliability**

Validity, reliability and generalisability are  
 often linked with authenticity and robustness of  
 any research or research findings (Regmi, 2013).  
 The degree of accuracy of the description of the  
 phenomenon depends upon the subject, and the  
 context of the study reflects the meaning of validity  
 (Bryman, 2001; Gray, 2004). To attain validity and  
 reliability, I adopted Mays and Pope's (1996) cri-  
 teria: first, I produced a thorough and compre-  
 hensive account of the phenomenon under  
 scrutiny; second, I carried out my field analysis  
 in such a way that another researcher could, in  
 theory, analyse the data and draw comparable

455 conclusions. As mentioned, I triangulated the data  
 456 by utilising more than one method of data collec-  
 457 tion (FGDs, SSIs and POs). In addition, I cross-  
 458 validated the data by sending some transcribed  
 459 versions of the transcripts back to the respondents  
 460 to ask whether my interpretations were accurate  
 461 (Robson, 1993). They agreed that the transcripts  
 462 were a true reflection of records.

463 To further ensure the degree of validity and  
 464 reliability, I followed a consistent approach in data  
 465 collection, recording and documentation. First,  
 466 I examined the stability of observations over time.  
 467 I conducted FGDs and SSIs with different people  
 468 in different times and places. Second, I employed  
 469 inter-rater reliability (Denzin and Lincoln, 1994)  
 470 via checks utilising two independent bilingual  
 471 translators.

472 **Results**

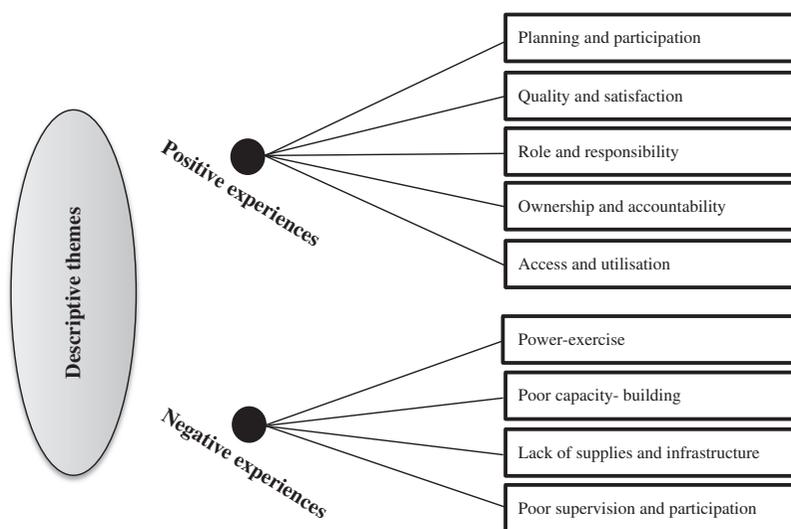
473 Four FGDs with HSPs ( $n = 20$ ), three FGDs with  
 474 district stakeholders ( $n = 15$ ), SSIs with HSUs  
 475 ( $n = 20$ ) and SSIs with national stakeholders  
 476 ( $n = 20$ ) were carried out. Respondents were aged  
 477 between 16 and 64 years with the mean age 40  
 478 years. Interviews took an average of 1.5 h and no  
 479 one refused to be interviewed. The analysis  
 480 allowed me to obtain 248 computer-generated  
 NVivo10 nodes, which were related to the

different dimensions of decentralisation and its 481  
 impact on district health services, as well as the 482  
 aspects affecting the decentralisation process. Two 483  
 data coders were involved in this study. From this 484  
 analysis it was possible to obtain two broad cate- 485  
 gories: positive and negative aspects of decen- 486  
 tralisation related to access, quality, planning, 487  
 supplies, coordination and supervision, and parti- 488  
 cipation of PHC services at local levels (Figure 2). 489

**Positive experiences** 490

*Planning and participation* 491

It was clear that participants on the whole were 492  
 involved in the planning and participation in the 493  
 services their local health systems offered. Several 494  
 respondents stated that they now accessed/utilised 495  
 the local health services more than before in the 496  
 community, and they also reported that local resi- 497  
 dents were more aware about their health and 498  
 well-being. This perspective was reflected by 499  
 both national stakeholders (policy-planners 500  
 and decision-makers) and recipients of services 501  
 interviewed in the study. For example, a health 502  
 policy-planner stated, 'There were some initiations 503  
 of bottom-up health planning involving all stake- 504  
 holders; people have now more developed their 505  
 ownership' (50-year-old male, national stake- 506  
 holder). A member of a health management



**Figure 2** Final lists of descriptive themes

507 committee said, ‘Services are delivered from the  
508 village level, as if you develop the village-based  
509 programme, they will have more knowledge about  
510 their problems and concerns so that it would be  
511 much easier to solve them. [A b]ottom-up  
512 approach – will help to assess and identify local  
513 problems’ (45-year-old male, district stakeholder).  
514 On the same topic, another respondent stated his  
515 view:

516 Yes, I have been involved in planning and  
517 conducting of outreach clinic (ORC) clinics  
518 in the village several times as [a] community  
519 health volunteer. People recognise us well,  
520 giving more value so I feel more honour.  
521 (37-year-old female, HSP)

#### 522 *Quality and satisfaction*

523 With reference to the quality of and satisfaction  
524 with the services they received, several respon-  
525 dents provided positive feedback. A female  
526 patient described her positive experience while  
527 visiting local health services:

528 I got the service on the same day that I asked  
529 for. Health professionals are very appro-  
530 priate to resolve most of my own and family  
531 problems, and they are very friendly – easily  
532 approachable. (45-year-old female, HSU)

533 A male patient highlighted that the healthcare  
534 service he got was very good and very memorable,  
535 as he described he was there almost two weeks ago  
536 with the problem of snake bite. When he reached  
537 the PHC, the health professionals put his leg in  
538 *colour water* (potassium permanganate) for 12 h.  
539 Initially he thought that he would die, but in fact he  
540 got fantastic care from them as they were like his  
541 god (16-year-old male, HSU).

542 Yet, another female patient stated:

543 Offered very [good] quality services and  
544 health workers often requested follow-up  
545 visits; very good indeed as compared to 5–7  
546 years ago. Always full numbers of health  
547 workers delivered health services from  
548 newly-constructed buildings; there were five  
549 beds for the in-patients, free services, [and  
550 an] ambulance for the referral/emergency  
551 cases. Good investigation and treatment  
552 facilities with friendly care; I liked it.  
553 (25-year-old female, HSU)

Participants on the whole noted the improve- 554  
ment of services from years past, which con- 555  
tributed to their satisfaction level. 556

#### *Role and responsibility clarity* 557

558 Several respondents noted that because they  
559 had more clarity about the roles and responsi-  
560 bilities of central and local governments in terms of  
561 accountability and resource allocations, local  
562 health plans could be developed and implemented  
563 more inclusively. Local health policies and proce-  
564 dures were now in place and, therefore, systems  
565 were more proactive in being guided by the needs  
566 and experience of local people. One district  
567 stakeholder, for example, reported:

[There] is now better coordination between 568  
[the] District Development Committee and 569  
District Health Office in terms of planning 570  
and resource-sharing (funds); as a result 571  
there [are] some improvements on patients’ 572  
attendance. (64-year-old male, district 573  
stakeholder) 574

#### *Ownership and accountability* 575

576 Several service providers noted that decen-  
577 tralisation would bring developed community  
578 ownership. The local medical director/healthcare  
579 in-charge, for example, described his positive  
580 experience and feeling about the community  
581 ownership and accountability:

582 Decentralisation has provided some space  
583 to health workers for making healthcare  
584 decisions. Because the local authority is an  
585 independent entity, we are now able to devolve  
586 or generate some revenues at [a] local level. As  
587 a result, local people, including political parties,  
588 are more accountable to health programmes,  
589 which was never the case in the past. (40-year-  
590 old male, HSP; 32-year-old male, HSP;  
591 36-year-old female, HSP)

592 Developing and implementing health services  
593 based on local needs fostered more accountability  
594 on the part of the consumer.

#### *Access and utilisation* 595

596 Some respondents noted that local health  
597 policies or programmes were made based on their  
598 (users’) needs and experience (people-centred 599

599 health services), and essential services were avail-  
600 able at the local level. A female patient said:

601 Easy to come and get it and most of the ser-  
602 vices [are] completely free. Poor people who  
603 can't afford private clinic can access these  
604 services without any costs. We are very  
605 happy. Medicines are available throughout  
606 the year. (34-year-old female, HSU)

607 A male patient stated the increased avail-  
608 ability of basic medicines throughout the year. He  
609 added:

610 And they are much cheaper even if we  
611 required purchasing. Even x-rays and lab  
612 facilities exist in the village that made our  
613 life much easier, both cost- and time-wise.  
614 (28-year-old male, HSU)

## 615 **Negative experiences**

### 616 *Power-exercise*

617 Despite the aforementioned positive experi-  
618 ences, there were several concerns about decen-  
619 tralisation raised by study participants. One such  
620 concern involved collaboration power-sharing.  
621 One national stakeholder, for example, forcefully  
622 pointed out that though decentralisation is con-  
623 sidered to be a fairer governance system, 'political  
624 representatives often reflected their parties' vested  
625 interests at a local level; as a result they often make  
626 decisions based on their interests. Sectoral opera-  
627 tional working/service plans, particularly the  
628 monitoring and auditing, were not clearly defined'  
629 (48-year-old male, national stakeholder). It is  
630 important that in decentralisation, collaboration is  
631 crucial between central and local governments,  
632 and even at the central Ministry of Health and  
633 Ministry of Local Development levels, and that  
634 needs to be clearly laid out. There are still, how-  
635 ever, some issues which appear with regard to the  
636 role and responsibilities – who does what and who  
637 has what at the central and local health levels.  
638 Power-exercise was mostly used at central levels.  
639 The same sentiments were also shared by other  
640 study participants, that power-sharing has jeo-  
641 pardised role identification and clarification, both  
642 at the strategic and operational levels, in terms of  
643 planning and execution of healthcare at the local  
644 levels.

### *Poor capacity-building*

645 Respondents noted concerns about the strategic  
646 decisions on location, governance structure, and  
647 capacity development, which was the case more  
648 often with national-level health stakeholders.  
649 According to one health policy-planner:  
650

[The] focal point of health sector decen-  
651 tralisation [is] not identified, for example,  
652 whether the National Planning Commission  
653 (a national apex body) or the Ministry of  
654 Health. There was also limited provision  
655 of capacity development at national and  
656 local levels. Also [there was] not clearly  
657 defined governance and political structure,  
658 and their role in the public sector [was  
659 not defined]. (56-year-old male, national  
660 stakeholder)  
661

662 On the same topic, a health worker respondent  
663 stated:

Some policies exist only in papers, but [there  
664 are] not clearly defined roles of local health  
665 authorities. As a result there is always con-  
666 flict [concerning] who does what, who has  
667 what, and who gains and loses as a result.  
668 There are always poor/inadequate provisions  
669 of healthcare monitoring and auditing in  
670 place. Similarly, there is a lack of local-level  
671 health and wellbeing plans. (39-year-old  
672 female, HSP)  
673

674 A similar concern was raised by one service user:

There were poor financial mechanisms,  
675 mainly fund flow systems from the central  
676 government to local level to local health  
677 facilities. As a result, several needs-based  
678 health plans were not implemented, nor did  
679 they reflect poor people's needs and interests  
680 in the programme planning and management  
681 cycle. (32-year-old female, HSU)  
682

683 HSUs and HSPs alike noted concerns related to  
684 capacity-building brought about as a result of  
685 decentralisation.

### *Lack of supplies and infrastructure*

686 Challenges related to supplies was a stated  
687 theme. Some healthcare providers described that  
688 in healthcare services there were insufficient  
689

690 medicines throughout the year, so people cannot  
691 provide better services to poor people.

692 Because poor people cannot afford to purchase  
693 some medicines from [the] health centre as they  
694 don't have any budgets at the local level, they  
695 cannot provide every service, so we failed to  
696 address the needs of poor people. (41-year-old  
697 male, HSP; 38-year-old female, HSP)

698 They further highlighted that though they have  
699 decided in the management committee to open up  
700 24-h 'obs and gynae delivery' services, because of  
701 the lack of infrastructure and financial support,  
702 they could not manage this. The chair of the health  
703 service management committee described his  
704 struggles with health infrastructure: 'We didn't  
705 [even] have any extra room for the patients'. Fear  
706 of lack of regular supplies, mainly essential medi-  
707 cines, was a recurrent explanation for poor-quality  
708 services (51-year-old male, district stakeholder).

#### 709 *Supervision and participation*

710 Concerns about the supervision of, monitoring  
711 of and participation in local health services were  
712 also noted. One respondent described that:

713 There is a poor supervision and support  
714 mechanism between the district [District health  
715 office] and primary healthcare centre; there-  
716 fore, it is difficult for me being an in-charge  
717 centre to assure the community that they will  
718 get what they demand. In fact I often felt  
719 reluctant to talk [to] the local people about their  
720 health needs. (37-year-old female, HSP)

721 Participation, on the whole, appeared relatively  
722 nominal. While some people were involved in the  
723 planning and management levels, the people who  
724 were poor and marginalised were often left out. A  
725 medical doctor, for example, lamented:

726 I would like to [be] involved [by] shar[ing] my  
727 voice in the health centre as I [am] never ever  
728 invited for the general meeting. (48-year-old  
729 male, HSP)

730 Similarly, an elderly patient shared:

731 I am a member of *Kisan Samuha* (farmers'  
732 group). I am a member of *Adibasi* (indi-  
733 genous) women's group, and promoting  
734 vegetables and nursery gardens [is] the major

[job] of the group. I would like to engage  
myself in the community health works. I am  
also a member of one women's group and my  
sister-in-law is a community health volunteer,  
for tuberculosis. I want to work with these  
health workers, especially in the sector of  
water, health and sanitation, and environ-  
mental health. No, I don't know how to join in  
as I was never invited to become a community  
health member. (43-year-old male, HSU)

#### Discussion

In this study, I found that the idea and practice of  
decentralisation indicates that the body of locally  
elected officials who represent the local govern-  
ment or local political unit would be a viable  
institution to which power and authority can be  
devolved. This notion holds some important  
implications, based on the findings of the study  
that local political authorities are close to local  
communities and can therefore best represent  
their interests. Local community involvement  
ultimately increases the effectiveness, efficiency  
and responsiveness of interventions (see Cheema  
and Rondinelli, 1983; Regmi *et al.*, 2010).

Similar to previous studies (see Bossert, 2000;  
Bossert and Beauvais, 2002; Bossert *et al.*, 2003;  
Collins and Omar, 2003; Omar *et al.*, 2007; Sreer-  
amareddy and Sathyanaraya, 2013; Mohammed  
*et al.*, 2015), the findings of this study have sup-  
ported the claims that decentralisation of PHC  
services through devolved power and authority are  
seen as beneficial. In particular, local health facil-  
ities are gaining some degree of freedom from the  
central government. Local officials are being held  
accountable to people's needs and interests,  
recognising consumers' voices and choices by  
health systems, and engaging in participatory ser-  
vice planning and management, as well as health  
service performance. Additionally, poor and  
excluded members of the community have clearly  
recognised the benefits of decentralisation. Simi-  
larly, sharing the study findings to the community  
involving the local HSPs, civil societies and policy-  
planners, and decision-makers would allow an  
opportunity to hear what the community have to  
say, and this dialogue would give HSPs at both  
ends of the spectrum an opportunity to evaluate  
their own thinking in service delivery.

Notwithstanding the above, this study has also indicated that decentralisation may generate a series of micro-level problems in achieving the objectives of devolution. Omar *et al.* (2007) supported this view by recognising that decentralisation policy in Nepal is coupled with a faulty transfer system and differing levels of efficiency and capacity, which might also hamper the pursuit of regional and local equity in health service delivery and management, as linking the devolution of authority and power to locally elected government authorities is not a sufficient condition to ensure the participation of civil societies and groups in decision-making processes.

Decentralisation at its best has not been fully reflected in practice in Nepal. This study noted that political representatives were still at the centre of health services plans, and they often reflected their parties' vested interests rather than people's needs and aspirations. In addition, this study highlighted that central government is still in control of all financial aspects, including staff hiring and firing. Roles and responsibilities have not been clearly demarcated between central and local government; and external development partners' (donors') roles have not been made clear in terms of developing and implementing local health programmes and policies. These tendencies run against the grain of decentralisation. Furthermore, some service users felt that there were inadequate reflections of poor people's healthcare needs and interests in programme planning and management due to discrimination by practitioners.

Nepal is still in a transitional phase due to political turmoil and instability. As a result, the local government is not operating within the principles of local governance systems. Nevertheless, recently the Government of Nepal has successfully promulgated the new constitution of 2015. In accordance with law, article 35 has fundamentally recognised that 'each person shall have equal access to healthcare', especially targeting the *dalit communities* (ie, poor and marginalised people) (Government of Nepal, 2015).

### Strengths and limitations

This study has not only explored some insights into the benefits and disadvantages of decentralisation from the wider stakeholders' perspectives in this

particular country, but also offers lessons learned to provide researchers or policy-makers fodder for further research in the devolution of the healthcare sector. Imbued in this study were three limitations: first, the central purpose of decentralisation was to increase the coverage, efficiency, equity, effectiveness and quality of health services, thereby improving the health status of the population (Bossert, 1996). However, this study focussed on exploring and examining the effects of decentralisation on provision of PHC services and health service performance from the viewpoints of HSUs and HSPs only.

Second, this study adopted a qualitative-driven mixed method design (QUAL → *qual*), where the qualitative core component was the FGDs, which in theory used 'inductive theoretical drive' with the sequential qualitative supplementary component (SSIs and Os). In theory, a mixed method design would strengthen the research study, but in practice it is not always easy to do (Morse and Niehaus, 2009).

Finally, this study employed the purposive method for sampling. Although the researcher captured a diversity of participants in terms of ethnic source, age, sex, location, services category and role in the community, the sample precluded the identification of those who had no access to or utilisation of the health services.

### Conclusion

In spite of the methodological limitations, the results from this study do make a valuable contribution to our knowledge in terms of understanding and examining healthcare through qualitative-driven mixed methods design using a QUAL → *qual* approach. Qualitative methods are often criticised as a 'second-class science' (Morse, 2006: 315) because findings are related to a specific context; therefore, knowledge obtained from this approach would be difficult to transfer to another context. This study has, however, recognised the effectiveness of qualitative designs in terms of enacting an in-depth understanding of a problem (decentralisation in a third-world country) and exploring possible options within that given context. The findings from the study would be an invaluable source of information that would directly benefit the marginalised community that it seeks to assist.

880 For these reasons, I believe that the approach has  
 881 merit for pursuing additional research (i) to examine  
 882 and understand the impact of decentralisation on  
 883 output and outcome objectives – improving equity  
 884 (access and coverage), efficiency, quality and  
 885 improving health outcomes, and (ii) to translate its  
 886 implications across a wider scale involving more  
 887 PHC services to improve the quality of services,  
 888 considering the marginalised or excluded groups  
 889 (women, children, poor religious, cultural and ethnic  
 890 groups) is now the priority (see Bossert, 1996).

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## 898 Conflicts of Interest

899 None.

## 900 Ethical Standards

901 The authors assert that all procedures con-  
 902 tributing to this work comply with the ethical  
 903 standards of relevant national and institutional  
 904 guidelines and with the Helsinki Declaration of  
 905 1975, as revised in 2008. The study was approved  
 906 by the NHRC and UWE ethics committees.

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